

# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

(INCLUDING FILING FEE AND/OR PETITION FOR  
EXTENSION OF TIME FEE)

Subsection (b) of 35 U.S.C. §132, effective May 29, 2000  
provides for continued examination of a utility or plant application  
filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA)

To: **Commissioner for Patents**  
**Box RCE**  
**PO Box 1450**  
**Alexandria, VA 22313-1450**

Attorney Docket No.: 1460.1002

**RECEIVED**

APR 23 2004

First Named Inventor	Yutaka KAI et al.		
Application No.	09/495,708	Group Art Unit	2633 Technology Center 2600
Filing Date	February 1, 2000	Examiner	Agustin Bello
CPA Filing Date		Confirmation No	5201
Title of Invention	SELECTED-WAVELENGTH TUNING FILTER AND OPTICAL ADD/DROP MULTIPLEXER		

This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

1.


**Submission required under 37 C.F.R. §1.114 (Box a or b must be completed)**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on November 3, 2003  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other

2.

**Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §103(c) for a period of      months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. §1.17(i) required).
- b. ☐ Other

	<b>BASIC FEE</b>	\$ <b>770.00</b>
Since an Official Action set an <u>original</u> due date of <u>April 26, 2004</u> , petition is hereby made for an extension of time to cover the date this RCE is filed, for which the requisite fee is enclosed (1 month (\$110); 2 months (\$420); 3 months (\$950); 4 months (\$1,480); 5 months (\$2,010)):		
Suspension Fee (\$130.00)		
Total of above Calculations =		\$ 770.00
Reduction by 50% for filing by small entity (Note 37 C.F.R. 1.9, 1.27, 1.28).		
TOTAL FEES DUE =		\$ 770.00
4. <input type="checkbox"/> Small entity status: a. <input type="checkbox"/> Verified Statement Claiming Small Entity Status. b. <input type="checkbox"/> A Verified Statement Claiming Small Entity Status was previously filed and such status is still proper and desired. c. <input type="checkbox"/> is no longer claimed. 5. <input type="checkbox"/> Other:		
<div style="border: 1px solid black; padding: 5px; display: inline-block;"> <b>RECEIVED</b>            APR 23 2004         </div>		
<b>6. METHOD OF PAYMENT</b>		
Technology Center 2600		
<input checked="" type="checkbox"/> A check in the amount of \$ <u>770.00</u> is enclosed. <input type="checkbox"/> Charge "TOTAL FEES DUE" to Deposit Account No. 19-3935. (A duplicate copy of this form is enclosed.)		
<b>7. GENERAL AUTHORIZATION</b>		
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 C.F.R. 1.16 (filing fees) or 37 C.F.R. 1.17 (processing fees) during the prosecution of this application and of any related application(s) claiming benefit hereof pursuant to 35 U.S.C. §120 to maintain pendency hereof and of any such related application to: <b>Deposit Account No. 19-3935.</b>		
<b>8. CORRESPONDENCE ADDRESS</b>		
STAAS & HALSEY LLP  <b>21171</b> <small>PATENT TRADEMARK OFFICE</small>		
<b>9. SIGNATURE OF ATTORNEY OR AGENT REQUIRED</b>		
NAME	Douglas X. Rodriguez	REGISTRATION NO. 47,269
SIGNATURE	<i>Douglas Rodriguez</i>	DATE April 21, 2004